



## INVESTMENT TARIFF

▶ 1<sup>st</sup> July 2019ALPHA BANK LONDON LIMITED  
International Private Banking  
Capital House, 85 King William Street, London EC4N 7BL

## 1. EXECUTION-ONLY SERVICES

1.1 Equities	Portfolio Value <sup>1</sup> & Country / Exchange <sup>3</sup>	less than £250,000 or cur. equivalent	from £250,001 to £1,000,000 or cur. equivalent	from £1,000,001 or cur. equivalent
Equity Purchase/Sale Commissions <sup>2</sup>				
Minimum fee of £30 €30 \$30	Greece	0.60%	0.50%	0.45%
	UK and US	0.85%	0.70%	0.65%
	Other Countries	1.00%	0.85%	0.80%

**Stock exchange charges & local taxes (levied by specific stock exchanges)**

Please note that all necessary standard local taxes, transfer fees, stock exchange and other charges and correspondence costs incurred will be passed on.

1.2 Bonds	Portfolio Value <sup>1</sup>	less than £250,000 or cur. equivalent	from £250,001 to £1,000,000 or cur. equivalent	from £1,000,001 or cur. equivalent
Bond Purchase/Sale Commissions <sup>2</sup>	Commission on gross value of transaction	0.35%	0.30%	0.25%

1.3 Mutual Funds	Portfolio Value <sup>1</sup>	less than £250,000 or cur. equivalent	from £250,001 to £1,000,000 or cur. equivalent	from £1,000,001 or cur. equivalent
Mutual Fund Purchase Commissions <sup>2</sup>				
Min. investment amount: £10,000 or cur. equivalent	Money Market funds	0.10%	0.10%	0.10%
	Bond funds	1.00%	0.80%	0.70%
	Equity funds, Mixed / Asset Allocation funds, Commodity funds,	2.25%	2.00%	1.75%
	Other funds	Available on Request		

1.4 Nominee Service	Portfolio Value <sup>1</sup>	less than £250,000 or cur. equivalent	from £250,001 to £1,000,000 or cur. equivalent	from £1,000,001 or cur. equivalent
Annual Nominee Fees <sup>4</sup>	Minimum Fee	£125 or cur. equival.	£125 or cur. equival.	£125 or cur. equival.
	Bonds	0.15%	0.15%	0.12%
	Equities & Marketed Products	0.25%	0.25%	0.20%
	Money Market funds	0.15%	0.15%	0.15%
	Bond funds, Equity funds, Mixed / Asset Allocation funds, Commodity funds	0.25%	0.25%	0.20%
	Other funds	0.25%	0.25%	0.20%



## ALPHA PRIVATE BANK

Please note that any bonds subject to US withholding tax that are held in custody will incur an additional fee of €110 per month per individual account holder – kindly liaise with your Relationship Manager for further details.

<sup>1</sup> Portfolio value is calculated as the total value of assets held with Alpha Bank London Limited and includes cash and investment holdings.

<sup>2</sup> Commissions/fees are calculated on the gross value of transactions/investments.

<sup>3</sup> Please ask your Relationship Manager for a comprehensive list of Countries / Exchanges in which we can trade.

<sup>4</sup> Annual Nominee fees are calculated and applied quarterly (or on disposal of the portfolio) based on the client's portfolio value (with the exception of cash) as at the end of each quarter. Nominee fees are not subject to VAT.

### 2. OTHER CHARGES

2.1 Corporate actions charges	Corporate actions	£20 (or cur. equivalent)
2.2 Transfer of investments	Transfer of Assets between accounts in the same name	£15 per line of stock (or cur. equivalent)
	Transfer of Assets between accounts in different names	£30 (or cur. equivalent)
	<i>In cases where the Transfer requires Selling and Repurchasing</i>	£100 (or cur. equivalent)
2.3 Letters, Advices & Coupon payments (Bonds & Struct. Notes)	Coupon payment amounts greater than £500 or cur. equiv.	£15 per coupon payment (or cur. equivalent)
	Duplicate Coupon Advice	£5 (or cur. equivalent)
	Duplicate Confirmation Letter	£30.00 (or cur. equivalent)
2.4 IPO		Subject to a fee. Please speak to your Relationship Manager
2.5 Report Valuation frequency **	Monthly Valuations – E-mailed Or Quarterly Valuations - Posted	No charge
		No charge
	Additional Valuations	Subject to a £5 fee per valuation. Please speak to your Relationship Manager

\*\*The Valuation Report will be automatically sent to the first Beneficiary of the account, unless stated otherwise.

Should there be any fees not covered by the present tariff, they will be charged to the client separately under advice prior to execution of the trade.

If you are unsure of the charges that you will incur please contact your Relationship Manager for further clarification.

This Investment Tariff should be read in conjunction with the Bank's Investment Terms of Business dated January 2018.